

# CANADIAN STROKE BEST PRACTICE RECOMMENDATIONS

# Transitions and Community Participation Following Stroke Evidence Tables

# Education for People with Stroke, Their Families and Caregivers Following Stroke

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### **Table of Contents**

| Search Strategy                                   | 3  |
|---|----|
| Published Guidelines                              | 4  |
| Associations between Income & Participation       | 8  |
| Education Needs of Patients and Caregivers        | 9  |
| Self-Management                                   | 11 |
| Patient & Caregiver Education and Skills Training | 17 |
| Caregiver Involvement in Rehabilitation           | 28 |
| References  | 35 |

## **Search Strategy**



Cochrane, Medline, and CINAHL, Clinicaltrials.gov, and National Guideline Clearing House were search using medical subject. Titles and abstract of each article were reviewed for relevance. Bibliographies were reviewed to find additional relevant articles. Articles were excluded if they were: non-English, commentaries, case-studies, narrative, book chapters, editorials, non-systematic review, or conference abstracts. Additional searches for relevant best practice guidelines were completed and included in a separate section of the review. A total of 26 articles and 6 guidelines were included and were separated into separate categories designed to answer specific questions.

### **Published Guidelines**

| Guideline  | Recommendations  |
|--|--|
| Clinical Guidelines for Stroke<br>Management 2017. Melbourne<br>(Australia): National Stroke | Strong Recommendation <ul> <li>All stroke survivors and their families/carers should be offered information tailored to meet their individual needs using relevant language and communication formats.</li> </ul>  |
| Foundation.  | <ul> <li>Information should be provided at different stages in the recovery process.</li> </ul>  |
|  | • An approach of active engagement with stroke survivors and their families/carers should be used allowing for the provision of material, opportunities for follow-up, clarification, and reinforcement.   |
|  | Practice Statement Consensus-based recommendation  |
|  | Hospital services should ensure that stroke survivors and their families/carers have the opportunity to identify and discuss their postdischarge needs (including physical, emotional, social, recreational, financial and community support) with relevant members of the interdisciplinary team. |
| National Clinical guidelines for   | 2.16.1 Recommendations (Carers)  |
| stroke" 5 <sup>th</sup> Edition 2016;  | A The views of the person with stroke should be sought, to establish the extent to which they wish carers and  |
| Intercollegiate Stroke Working   | B If the person with stroke agrees, family/carers should be involved in significant decisions as an additional source  |
| Party. Royal College of Physicians   | of information about the person both clinically and socially.  |
|  | C The primary carer(s) of a person with stroke should be offered an educational programme which:   |
|  | - explains the nature, consequences and prognosis of stroke and what to do in the event of a further stroke or   |
|  | - teaches them how to provide care and support:  |
|  | <ul> <li>– gives them opportunities to practise giving care;</li> </ul>  |
|  | <ul> <li>provides advice on secondary prevention, including lifestyle changes.</li> </ul>  |
|  | D When care is transferred out of hospital to the home or care home setting, the carer of a person with stroke   |
|  | - an assessment of their own needs, separate to those of the person with stroke:   |
|  | - the practical or emotional support identified as necessary;  |
|  | – guidance on how to seek help if problems develop.  |
|  | E The primary carer(s) of a person with stroke should be provided with the contact details of a named healthcare   |
|  | E After a person with stroke has returned to the home or care home setting, their carer should:  |
|  | <ul> <li>– have their need for information and support reassessed whenever there is a significant change in circumstances</li> </ul>   |
|  | (e.g. if the health of the carer or the person with stroke changes);   |
| Winetein C.I. Stein I. Arene D.  | - be reminded and assisted in how to seek further help and support.  |
| Winstein CJ, Stein J, Arena R,<br>Batas B, Charnov I B, Cramar SC                            | It may be useful for the family/caregiver to be an integral component of stroke rehabilitation. Class lib, LOE A   |
| Deruvter F Eng LI Fisher B   | It may be reasonable that family/caregiver support include some or all of the following on a regular basis: Class IIb,   |
| Harvey RL. Lang CE. MacKav-  | LOEA   |
| Lyons M, Ottenbacher KJ, Pugh S,   | Education  |

| Guideline  | Recommendations  |
|--|--|
| Reeves MJ, Richards LG, Stiers W,<br>Zorowitz RD; on behalf of the<br>American Heart Association<br>Stroke Council, Council on<br>Cardiovascular and Stroke<br>Nursing, Council on Clinical<br>Cardiology, and Council on<br>Quality of Care and Outcomes<br>Research. | <ul> <li>Training</li> <li>Counseling</li> <li>Development of a support structure</li> <li>Financial assistance</li> <li>It may be useful to have the family/caregiver involved in decision making and treatment planning as early as possible and throughout the duration of the rehabilitation process. Class IIb, LOE B</li> </ul>  |
| Guidelines for adult stroke<br>rehabilitation and recovery: a<br>guideline for healthcare<br>professionals from the American<br>Heart Association/American<br>Stroke Association.  |  |
| Bakas T. Clark PC. Kelly-Haves M.  | Recommendations for caregiver vs dyad interventions:   |
| et al. Evidence for stroke family<br>caregiver and dyad interventions:<br>a statement for healthcare<br>professionals from the American<br>heart association and American<br>stroke association. <i>Stroke</i><br>2014;45:2836-52                                      | Stroke caregiver interventions are probably recommended in preference to dyadic interventions when stroke caregiver outcomes are most desired. Class IIa; Level of Evidence A<br>Stroke dyad interventions are probably recommended in preference to stroke:<br>Caregiver interventions when survivor outcomes are most desired. Class IIa; Level of Evidence A<br>Recommendations for types of interventions:<br>Interventions that combine skill building (eg, problem solving, stress management, goal setting) with psycho-<br>educational strategies should be chosen over interventions that only use psycho-educational strategies. Class I;<br>Level of Evidence A<br>Interventions that involve only psycho-education are not recommended and have the potential to jeopardize social<br>functioning in some survivors and caregivers. Class III; Level of Evidence B<br>Interventions that consist of support only or a combination of support and psycho-education are not well<br>established and do not have sufficiently strong evidence. Class IIb; Level of Evidence B<br>Recommendations for tailoring vs one-size-fits-all interventions:<br>Interventions that are tailored or individualized on the basis of the needs of stroke caregivers should be chosen<br>over nontailored one-size-fits-all interventions. Class I; Level of Evidence A<br>Postdischarge assessments with tailored interventions based on changing needs should be performed to improve<br>caregiver outcomes. Class I; Level of Evidence C |

| Guideline   | Recommendations  |
|---|--|
| Scottish Intercollegiate Guidelines<br>Network (SIGN). Management of<br>patients with stroke: rehabilitation,<br>prevention and management of<br>complications, and discharge<br>planning. A national clinical<br>guideline. Edinburgh (Scotland):<br>Scottish Intercollegiate Guidelines<br>Network (SIGN); 2010 June. | <ul> <li>Recommendations for mode of delivery for interventions:<br/>Interventions that are delivered face to face and/or by telephone are recommended. Class I; Level of Evidence A<br/>Interventions delivered completely by telephone can be useful, particularly when face-to-face access is not feasible.<br/>Class IIa; Level of Evidence B<br/>Interventions delivered by the Web might be considered for those with computer access. Class IIb; Level of<br/>Evidence B</li> <li>Recommendations for number of sessions for interventions:<br/>Interventions consisting of 5 to 9 sessions are recommended. Class I;Level of Evidence A<br/>Interventions with a wide range of sessions (eg. 0–60) delivered by a family support organizer are not as useful and<br/>may jeopardize social functioning in some survivors and caregivers. Class III; Level of Evidence B</li> <li>Information Needs of Patients and Carers</li> <li>Stroke patients and their carers should be offered information about stroke and Rehabilitation [Evidence Level<br/>D].</li> <li>Information should be available to patients and carers routinely and offered using active information strategies,<br/>which include a mixture of education and counseling techniques [Evidence Level A].</li> <li>Information should be tailored to the communication needs and visual needs of individual patients and carers.<br/>Patients with aphasia should be provided with accessible and easy to read material, be given sufficient time for<br/>assimilation and be followed up by health professionals to ensure understanding [Evidence Level A].</li> <li>Information needs should be monitored and information should be provided at appropriate time [Evidence Level A].</li> <li>Information heeds should be monitored and information should be provided to specify the professionals to ensure understanding [Evidence Level A].</li> <li>Information needs should be monitored and information should be provided at appropriate time [Evidence Level A].</li> <li>Information needs should be monitored and information should be provided to the encouraged to seek help<br/>by con</li></ul> |
| Management of Stroke<br>Rehabilitation Working Group.<br>VA/DoD clinical practice guideline<br>for the management of stroke<br>rehabilitation. Washington (DC):<br>Veterans Health Administration,<br>Department of Defense; 2010.<br>p.p.70-72   | <ol> <li>Patient, Family Support, and Community Resources</li> <li>Recommend all stroke patients and family caregivers receive a thorough psychosocial assessment with psychosocial intervention and referrals as needed.</li> <li>The psychosocial assessment of both the patient with stroke and the primary family caregiver should include the following areas:         <ul> <li>a. History of pre-stroke functioning of both the patient and the primary family caregiver (e.g., demographic information, past physical conditions and response to treatment, substance use and abuse, psychiatric, emotional and mental status and history, education and employment, military, legal, and coping strategies)</li> <li>b. Capabilities and care giving experiences of the person identified as the primary caregiver</li> </ul> </li> </ol>  |

| Guideline | Recommendations  |
|-----------|--|
|           | <ul> <li>c. Caregiver understanding of the patient's needs for assistance and caregiver's ability to meet those needs</li> <li>d. Family dynamics and relationships</li> <li>e. Availability, proximity, and anticipated involvement of other family members</li> <li>f. Resources (e.g., income and benefits, housing, and social network)</li> <li>g. Spiritual and cultural activities</li> <li>h. Leisure time and preferred activities</li> <li>i. Patient/family/caregiver understanding of the condition, treatment, and prognosis, as well as hopes and expectations for recovery</li> <li>j. Patient/family/caregiver expectations of stroke-related outcomes and preferences for follow-up care</li> <li>3. Families and caregivers should be educated in the care of patients who have experienced a severe stroke, who are maximally dependent in ADL, or have a poor prognosis for functional recovery; as these patients are not candidates for rehabilitation intervention.</li> <li>4. Families should receive counseling on the benefits of nursing home placement for long-term care.</li> </ul> |
|           | <ul> <li>Discharge from Rehabilitation</li> <li>1. Recommend patient and family are educated regarding pertinent risk factors for stroke.</li> <li>2. Recommend that the family and caregivers receive all necessary equipment and training prior to discharge from rehabilitation services. [I]</li> <li>3. Family counseling focusing on psychosocial and emotional issues and role adjustment should be encouraged and made available to patients and their family members upon discharge.</li> </ul>   |
|           | <ol> <li>Long-Term Management         <ol> <li>Recommend post-discharge telephone follow-up with patients and caregivers be initiated and include problem solving and educational information.</li> <li>If available, asynchronous and real-time tele-health, video, and web-based technologies, (e.g., web-based support groups, tele-rehabilitation), should be considered for patients who are unable to travel into the facility for care and services.</li> <li>Patient and family should be educated regarding pertinent risk factors for stroke.</li> <li>Provide patient information about, and access to community-based resources.</li> </ol> </li> </ol>  |
|           | <ul> <li>Family/Community Support</li> <li>Patients and caregivers should be educated throughout the rehabilitation process to address patient's rehabilitation needs, expected outcomes, procedures and treatment as well as appropriate follow-up in the home/ community. [B]</li> <li>Patient and caregiver education should be provided in both interactive and written formats. [B]</li> <li>Caregivers should be provided in a variety of methods of training based on their specific needs, cognitive capability, and local resources; Training may be provided in individual or group format, and in community-based programs. [B]</li> </ul>  |

### Associations Between Income & Participation

| Study/Type  | Quality<br>Rating | Sample Description   | Method   | Outcomes   | Key Findings and Recommendations   |
|---|-------------------|--|--|--|--|
| Sauvé-Schenk<br>et al. 2019<br>Canada<br>Qualitative<br>study | NA                | 8 low-income<br>francophone persons<br>living in eastern<br>Ontario, who had<br>sustained a stroke ≥ 6<br>months and had an<br>annual income below<br>the low-Income cut-off<br>for their region<br>(approximately \$17,700<br>USD per year for a<br>single person). There<br>were 4 women and 4<br>men. Ages ranged from<br>late 20's to early 70s.<br>Time post stroke<br>ranged from 10 months<br>to 5 years. | Data acquisition for<br>each case included: 3<br>semi-structured<br>interviews with the<br>stroke survivor, one<br>semi-structured<br>interview with a care<br>partner, observations of<br>the stroke survivor in<br>their environment, a<br>review of their medical<br>charts, and completion<br>of three assessment<br>measures.<br>During the interviews,<br>participants were asked<br>to describe their pre-<br>stroke life situations and<br>personal projects. They<br>were then asked to<br>identify their post-stroke<br>personal projects and to<br>describe their<br>experience of<br>attempting to return to<br>these projects | Primary outcome:<br>Return to participation<br>in personally valued<br>activities after a stroke | <ul> <li>Monthly income ranged from 465USD to 1,300 USD per month.</li> <li>The stroke survivors spoke of the direct impact of living with a limited income on their ability to attain their personal projects.</li> <li>Low income influenced three main precursors to participation: goods, services and housing. Limited income restricted the participant's ability to purchase goods such as mobility aids, equipment, or healthy foods.</li> <li>Participants were limited in their ability to afford services such as transportation, personal support workers, housekeeping, and private therapy service.</li> <li>Limited income negatively impacted their ability to afford and maintain housing.</li> <li>Securing income support was identified as a personal project for 6/8 participants.</li> </ul> |
| Chen et al. 2015<br>UK<br>Retrospective<br>study              | NA                | 2,104 persons included<br>in the South London<br>Stroke Register cohort<br>of 1995 to 2011 who<br>had sustained a first-<br>ever stroke and were<br>alive at 3 months  | Socioeconomic<br>deprivation (SED) was<br>measured using the<br>Index of Multiple<br>Deprivation covering 7<br>dimensions of<br>deprivation including<br>income, employment,<br>health and disability,<br>education, skills, and<br>training, barriers to<br>housing and services,   | Primary outcome:<br>Functional impairment  | Three months after stroke, 25.9% in the most affluent<br>cohort (Q1) were functional impaired. Values for<br>persons in Q2, Q3 and Q4 were 30.3%, 31.0% and<br>35.1%, respectively.<br>Compared with those in Q1 (reference category), the<br>odds of functional impairment were:<br>Q2: 1.29, 95% CI 0.94–1.76<br>Q3: 1.33, 95% CI 0.97–1.82<br>Q4: 1.78, 95% CI 1.31–2.43<br>Overall p<0.004   |

| Study/Type   | Quality<br>Rating | Sample Description  | Method  | Outcomes  | Key Findings and Recommendations  |
|--|-------------------|---|---|---|---|
| Egan et al. 2015<br>Canada<br>Retrospective<br>study | NA                | 67 individuals who were<br>treated in acute care or<br>rehabilitation following a<br>first ever stroke, who<br>were discharged to the<br>community with FIM<br>scores of ≥ 3 for<br>comprehension,<br>memory and problem<br>solving | crime, and living<br>environment.<br>The association<br>between SED (grouped<br>by quartile) and<br>functional impairment<br>(Barthel Index score<br><15) was examined at 3<br>months and 3 years<br>post stroke. Analysis<br>was adjusted for<br>demographic<br>characteristics,<br>comorbidities and<br>prognostic factors<br>The average<br>Reintegration to Normal<br>Living Index (RNLI)<br>score at 6, 9, 12, 18<br>and 24-months post<br>stroke was compared<br>among persons living in<br>very low-income<br>neighborhoods<br>(<\$20,0000 vs. those<br>living in neighborhoods<br>with incomes >\$20,000. | Primary outcome:<br>Reintegration to Normal<br>Living Index | Three years after stroke, 22.1% in the most affluent<br>cohort (Q1) were functional impaired. Values for<br>persons in Q2, Q3 and Q4 were 23.2%, 28.3% and<br>31.9%, respectively.<br>Compared with those in Q1 (reference category), the<br>odds of functional impairment were:<br>Q2: 1.09, 95% CI 0.70–1.69<br>Q3: 1.34, 95% CI 0.87–2.07<br>Q4: 1.77, 95% CI 1.15–2.72<br>Overall p<0.046<br>In subgroup analysis, the associations were significant<br>in persons≥65 years, women, those with no baseline<br>comorbidities and for ischemic stroke.<br>Six (9.0 %) of the participants lived in very low-income<br>neighbourhoods.<br>Living in a very low-income neighbourhood was an<br>independent predictor of lower RNLI scores at 6 months<br>( $\beta$ = -15.7, p=0.028) and 12 months ( $\beta$ = -14.45,<br>p=0.04), but not at 9, 18 or 24 months.<br>Overall, those in very low-income neighborhoods had<br>significantly lower RNLI scores ( $\beta$ = -12.98, p=0.003).<br>Independent predictors of higher RNLI scores included<br>female sex, higher perceived health, better<br>performance of 2-minute walk test, and better emotional<br>well being. |

#### **Education Needs of Patients and Caregivers**

| Study/Type                      | Quality<br>Rating | Sample Description   | Method   | Outcomes   | Key Findings and Recommendations                       |
|---------------------------------|-------------------|--|--|--|--|
| Yonaty &<br>Kitchie 2012<br>USA | NA                | A convenience sample<br>of 71 patients ≥18<br>years, with a first-ever<br>hemorrhagic or | A questionnaire, entitled<br>"What I want to learn<br>about stroke", was<br>administered 3 times | <b>Primary outcome:</b><br>The importance of each<br>topic, rated on a 5-point | 32 patients completed the survey at all 3 time points. |

| Study/Type   | Quality<br>Rating | Sample Description  | Method   | Outcomes   | Key Findings and Recommendations   |
|--|-------------------|---|--|--|--|
| Survey   |                   | ischemic stroke<br>occurring within the<br>previous week, with a<br>plan for discharge to<br>either home or a<br>rehabilitation facility.<br>Mean age of patients<br>was 57 years, 53%<br>were men. 67.6% of<br>patients were<br>discharged home. | (24-72 hours post<br>stroke, at discharge and<br>at 2 weeks post<br>discharge). The<br>questionnaire consisted<br>of 8 stroke-related<br>domains (medical<br>knowledge of stroke,<br>control of risk factors,<br>treatment of stroke with<br>medications, surgery<br>and herbal or<br>alternative medicine,<br>rehabilitation, dietary<br>habits after stroke and<br>other topics. | Likert scale, and<br>change across time.                             | Medical knowledge and medication treatment were<br>rated the most important to learn. The results were<br>stable across assessment points.<br>Mean scores for medical knowledge were 4.29, 4.31<br>and 4.38. Mean scores for importance of medication<br>knowledge were 4.24, 4.28 and 4.30.<br>The lowest scores for importance of knowledge were<br>related to herbal or alternative treatments and dietary<br>habits.<br>Patients also indicated they were not satisfied with the<br>level of education they received for any of the 8<br>domains. Mean Likert scale scores were all <4.  |
| Hafsteinsdottir<br>et al. 2011<br>The<br>Netherlands<br>Systematic<br>review | NA                | 21 studies including<br>patients with stroke<br>(n=1,029) or their<br>caregivers (n=749) in<br>the acute, rehabilitation<br>or chronic phase  | The research question<br>was "What are the<br>educational needs of<br>stroke patients and their<br>caregivers during the<br>different phases<br>following the stroke?"   | Primary outcomes:<br>Educational needs of<br>patients (self-defined) | <ul> <li>5 studies focused on the needs of patients, 9 studies identified the needs of caregivers and 7 focused on the needs of both patients and caregivers.</li> <li>Among patients, educational needs identified included: possibility of cure with medical treatment, stress management, general medical knowledge and post stroke diet management, medications and side effects, specific medical information about their type of stroke and specific symptoms like dizziness, pain and loss of taste</li> <li>Unanswered questions patients had most often were related to communication difficulties, the nature of the stroke, fear of recurrent stroke, recovery, stroke prevention, memory problems, driving, return to work and tiredness.</li> <li>Education needs identified by caregivers included: information, communication, support and accessibility to the patient and the health care professional, dealing with psychological, emotional and behavioral problems and local service information, falls prevention maintaining adequate nutrition, staying active, managing stress, and dealing with emotional and mood</li> </ul> |

| Study/Type | Quality<br>Rating | Sample Description | Method | Outcomes | Key Findings and Recommendations   |
|------------|-------------------|--------------------|--------|----------|--|
|            |                   |                    |        |          | changes, coping with feeding problems, preventing a<br>patient's cognitive and physical deterioration and<br>handling a patient's changing moods<br>Education needs identified by patients and caregivers<br>included: diagnosis, prognosis, predicted recovery and<br>results of studies, risk of stroke recurrence, how to<br>prevent further stroke, driving and understanding<br>medical jargon and abbreviations, practical caring<br>tasks, social activities and resources available in the |
|            |                   |                    |        |          | personally tailored was also identified.   |

### Self-Management

| Study/Type    | Quality<br>Rating | Sample Description          | Method                    | Outcomes              | Key Findings and Recommendations                            |
|---------------|-------------------|-----------------------------|---------------------------|-----------------------|---|
| Foster et al. | NA                | 18 studies (17 different    | All studies that          | Primary outcomes:     | Health Status:  |
| 2007          |                   | samples) were               | assessed interventions    | health status, health | There were small but statistically significant              |
|               |                   | included. 7442              | for patients with chronic | behavior, health care | Improvements in pain (SMD -0.10; 95% CI -0.17 to -          |
| UK            |                   | multiple chronic            | if the programs focused   | use and self-enicacy  | fatigue (SMD -0.16: 95% CI -0.23 to -0.09) depression       |
| Cochrane      |                   | conditions (e.g. arthritis, | on:                       | Secondary outcomes:   | (SMD -0.16; 95% CI -0.24 to -0.07) and anxiety (SMD -       |
| Review        |                   | chronic pain, stroke,       | educational formats of    | knowledge of chronic  | 0.14; 95% CI -0.25 to -0.04) associated with the            |
|               |                   | hypertension, heart         | delivery; self-           | condition, social,    | intervention group.   |
|               |                   | failure etc.). Persons      | management principles;    | attendance level,     |   |
|               |                   | therapies were              | non-professionals or      | bealth care providers | bealth distress (SMD -0.25: 95% CL -0.34 to -0.15) in       |
|               |                   | excluded. Samples           | "lav people"              | costs. caregiver      | the intervention group. No significant differences in       |
|               |                   | sizes ranged from 71 to     |                           | outcomes, adverse     | fatigue, shortness of breath, psychological well-being,     |
|               |                   | 1140.                       | There were three main     | events.               | clinical measures or health related quality of life were    |
|               |                   |                             | types of interventions    | <b>A</b> (            | found between the groups.                                   |
|               |                   | Mean age ranged from        | Identified in the review: | Assessments were      | Health Behaviour:   |
|               |                   |                             | management program.       | (n=15) and 12 months  | There were small but statistically significant increases in |
|               |                   |                             | the chronic disease       | (n=2)                 | levels of exercise (SMD -0.20; 95% CI -0.27 to -0.12)       |
|               |                   |                             | self-management           |                       | and in the frequency of practice of cognitive strategies    |
|               |                   |                             | program (CDSMP) and       |                       | for symptom management (WMD -0.55; 95% CI -0.85 to          |
|               |                   |                             | its equivalent (The       |                       |   |

| Study/Type                            | Quality<br>Rating                            | Sample Description  | Method  | Outcomes   | Key Findings and Recommendations  |
|---------------------------------------|--|---|---|--|---|
| Lennon et al.<br>2013                 | NA   | 15 studies (9 RCTs, 6<br>non-RCTs) including  | Expert Patient<br>Programme EPP), and<br>other disease-specific<br>interventions. Stroke<br>was identified as a<br>chronic condition under<br>the studies that<br>assessed the effects of<br>the CDSMP.<br>Studies that specified<br>that patients were | <b>Outcomes:</b><br>None stated a priori   | <ul> <li>-0.26) in the intervention group compared to control group.</li> <li>Heath care use:<br/>No statistically significant differences were found between groups for health care provider visits or time spent in hospital.</li> <li>9 different self-management programs were identified.</li> </ul>   |
| Australia<br>Systematic<br>Review     |  | 1,233 patients >18<br>years, diagnosed with<br>stroke, and who were<br>focused on self-<br>management<br>interventions, at any<br>point post stroke.<br>Mean age of<br>participants across all<br>included studies was 67<br>years. | participating specifically<br>in a "self-management"<br>intervention.   |  | Mean time from stroke onset to initiation of program<br>ranged from 24 days to >4 years.<br>In 9 cases, the programme being delivered had been<br>informed by Social Cognitive Theory.<br>The programs were group-based (n=8) and delivered<br>one-on-one (n=7). Components of the programs<br>included workbooks, DVDs, problem-solving quizzes<br>and exercise sessions. In all studies there were<br>elements of information provision, problem solving, and<br>goal setting.<br>In 6/9 RCTS, there were significant improvements<br>associated with the intervention group in: disability and<br>confidence in recovery, the stroke-specific quality of life<br>sub-scales of family roles (p<0.01), fine motor tasks,<br>(p<0.05), stroke knowledge, and mRS scores.<br>In the non-randomized studies, significant<br>improvements were reported in at least one of the<br>studies for: depression, mobility (6-minute walk test),<br>balance, the Stroke Self-Efficacy<br>Questionnaire and Recovery Locus of Control<br>Measure. |
| Harwood et al.<br>2011<br>New Zealand | CA: ☑<br>Blinding:<br>Patient ⊠<br>Assessor☑ | 172 patients > 15 years,<br>6-12 weeks post stroke<br>living outside of<br>institutional care and<br>identified as Maori or   | Patients were<br>randomized to either<br>intervention a (n=48), b<br>(n=46), both a and b   | Primary outcome:<br>SF-36 (physical and<br>mental component<br>summary scores),<br>Frenchay Activities | There were no statistically significant differences in any outcomes at 6 months.<br>Outcomes assessed at 12 months:   |

| Study/Type                                  | Quality<br>Rating                                      | Sample Description   | Method  | Outcomes  | Key Findings and Recommendations  |
|---|--|--|---|---|---|
| RCT   | ITT: 🗹   | Pacific ethnicity. Most<br>patients were identified<br>for potential inclusion<br>during their acute<br>hospital stay. 48% of<br>participants were male.<br>Mean age: 61.4 years | (n=32) or control<br>(n=31).<br>Intervention a (DVD):<br>involved watching an<br>80-minute DVD. The<br>patient was<br>subsequently able to<br>take the DVD with<br>them. The DVD was<br>culturally specific,<br>involved stories from<br>stroke survivors and<br>was focused on<br>information provision.<br>Intervention b (TCS –<br>Take Charge Session):<br>involved an 80-minute<br>session with a research<br>assistant. Patients were<br>guided in a patient-<br>centered goal setting<br>process for recovery.<br>The control group<br>received a 30-minute<br>session with a research<br>assistant. Patients were<br>given written<br>educational material. | Index (FAI), Caregiver<br>Strain Index (CSI),<br>blood pressure,<br>assessed at 12 months.<br><b>Secondary outcomes:</b><br>Barthel index (BI),<br>dependency (modified<br>Rankin score >2) and<br>rehabilitation service<br>use, assessed at 6 and<br>12 months. | At 6 months, mean physical component score of the SF-36 was significantly higher among participants in the TCS group (p=0.004) and mean CSI scores among caregivers were lower (p=0.03), compared with the other treatment and control groups.<br>At 12 months, significantly fewer participants in the TCS group were dependent (OR= 0.42, 95% CI 0.2 to 0.89, p = 0.023) and a lower mean CSI score (-1.5 points, 95% CI -2.8 to -0.1, P = 0.034).<br>There were no other significant differences between groups. |
| Cadilhac et al.<br>2011<br>Australia<br>RCT | CA: ☑<br>Blinding:<br>Patient ☑<br>Assessor☑<br>ITT: ☑ | 143 patients > 18 years,<br>more than 3 months<br>post stroke. 78% of<br>participants<br>experienced the index<br>stroke >12 months<br>previously.                               | Participants were<br>randomized to one of<br>three groups: Stroke-<br>Specific Self-<br>Management Program<br>(SSMP)(n=48), the<br>Stanford Chronic<br>Disease Self-<br>Management Program,<br>referred to as the   | Primary outcome:<br>Feasibility, assessed by<br>the numbers of patients<br>who expressed interest,<br>attended and completed<br>the program.<br>Secondary outcomes:<br>Health Education<br>Impact Questionnaire,  | There was no difference in the number of patients who<br>completed the generic vs. the SSMP intervention (38%<br>vs. 52%; P=0.18).<br>There was no difference in the number of adverse<br>events (n=36) between the generic, SSMP or control<br>groups (p=0.47).<br>Patients in all groups demonstrated improvement over<br>time. There were no significant differences in outcomes   |

| Study/Type  | Quality<br>Rating | Sample Description   | Method  | Outcomes   | Key Findings and Recommendations  |
|---|-------------------|--|---|--|---|
|   |                   | 59% of participants<br>were female. Mean age:<br>69.4 years  | generic group (n=47) or<br>standard care (n=48).<br>Patients in the SSMP<br>participated in an 8<br>week (1x per week –<br>2.5 hours) co-facilitated<br>program, delivered by<br>health professionals<br>and trained peer<br>leaders. The<br>information provided<br>was stroke-specific and<br>reinforced at each visit,<br>as required.<br>The generic group<br>participated in a 6-week<br>program (2.5 hours per<br>night, one night per<br>week), covering a wide<br>range of topics, which<br>was co-facilitated and<br>led by trained leaders.<br>The standard care<br>group received the<br>typical information and<br>care provided by the<br>hospital team upon<br>discharge from hospital. | Assessment of Quality<br>of Life tool, and mood<br>(Irritability, depression,<br>and anxiety scales).<br>Assessments were<br>conducted at baseline,<br>post-intervention and 6<br>months.  | between the generic and SSMP groups for any of the secondary outcomes.  |
| Huijbregts et al.<br>2008<br>MOST (Moving<br>on after stroke)<br>And LWS<br>(Living with<br>stroke)<br>Canada | NA                | 23 participants (14 in<br>MOST and 9 in LWS)<br>an average of 24<br>months post stroke,<br>with an average age of<br>71 years (MOST group)<br>and 63 years (LWS<br>group). | Participants were<br>recruited by brochures,<br>referrals, presentations<br>etc. and were allowed<br>to choose which of the<br>two programs they<br>wanted to attend.<br>Participants in the first<br>program (MOST)  | Outcomes:<br>Mini-Mental State Exam<br>(MMSE) at baseline,<br>Reintegration to Normal<br>living index (RNLI),<br>Activity-specific balance<br>scale (ABC), FIM,<br>geriatric depression<br>scale (GDS), Chedoke<br>McMaster Stroke | <ul> <li>MOST vs. LWS groups:<br/>There were no statistically significant differences in outcomes between the two groups.</li> <li>Within MOST group:<br/>RNLI: Patients in the MOST group experienced statistically significant improvements in the RNLI score from baseline to 12 weeks after the program (F=3.43; P&lt;0.05).</li> </ul> |

| Study/Type           | Quality<br>Rating | Sample Description | Method   | Outcomes  | Key Findings and Recommendations   |
|----------------------|-------------------|--------------------|--|---|--|
| Prospective<br>study |                   |                    | received 16 group<br>sessions (2 times per<br>week for 2 hours) and<br>one final "booster<br>session" after a 6-week<br>gap. The 17 session<br>topics include (Listed in<br>Table 1 of Huijbregts et<br>al 2008): why is self-<br>management and<br>exercise important, goal<br>setting, how stroke<br>affects you and<br>prevention, relaxation,<br>daily activities and<br>responsibilities,<br>recreation and having<br>fun, how stroke affects<br>how you think and feel,<br>caregivers, community<br>resources<br>communication,<br>interaction with health<br>providers, alternative<br>treatments, loving and<br>caring, your doctor and<br>your medications,<br>nutrition sleep and pain,<br>community living). One<br>hour is devoted to one<br>of the topics listed and<br>some time to work on<br>goal setting and<br>strategies for problem<br>solving. The second<br>hour is exercise.<br>Participants in the<br>second program, LWS,<br>received 6 group<br>sessions (1 time per | Assessment (CMSA)<br>(activity inventory<br>component)<br>Assessments were<br>conducted at baseline,<br>at the end of the<br>program and at 12<br>weeks after the<br>program (all other<br>assessments except<br>MMSE were<br>administered at the last<br>two time points). | <ul> <li>ABC: Patients in the MOST group experienced statistically significant improvements in ABC scores from baseline to right after the program and from baseline to 12 weeks after the program (F=8.94; P&lt;0.005).</li> <li>FIM: Patients in the MOST group experienced statistically significant improvements in FIM scores from baseline to right after the program (F=3.97; P&lt;0.05). GDS: No statistically significant improvements in GDS scores in the MOST group.</li> <li>CMSA: No statistically significant improvements in CMSA scores in the MOST group.</li> <li>Within LWS group:</li> <li>Patients in the LWS group only experienced statistically significant gains in FIM scores (F=4.73; P&lt;0.09)</li> <li>Overall the outcomes for the MOST and LWS groups did not differ significantly. However, the MOST group of patients experienced significant improvements in multiple outcome areas, while participants in the LWS group only experienced functional gains. For participants in the MOST program, a greater percentage of people attended the first hour of the session compared to the second hour (89% vs. 77%; P&lt;0.001)</li> </ul> |

| Study/Type                                 | Quality<br>Rating                                      | Sample Description   | Method   | Outcomes   | Key Findings and Recommendations   |
|--|--|--|--|--|--|
| Kendall et al.<br>2007<br>Australia<br>RCT | CA: ☑<br>Blinding:<br>Patient ⊠<br>Assessor⊠<br>ITT: ⊠ | 100 patients less than a<br>few months since first-<br>ever stroke no history of<br>dementia or psychiatric<br>disorder, living<br>independently in the<br>presence of a family or<br>friend (i.e. an "enabler").<br>Mean age: 66 years. | week for 90 minutes).<br>Session topics include 6<br>of the 8 listed in Table 1<br>of Huijbregts et al 2008:<br>how a stroke happens,<br>physical effects of<br>stroke, communication,<br>therapies and lifestyle<br>changes, psychosocial<br>effects, stroke and the<br>younger person, issues<br>in care giving,<br>community).<br>Participants were<br>randomized to either<br>intervention (n=58) or<br>control groups (n=42).<br>Intervention was the<br>Stanford University's<br>Chronic Disease Self-<br>Management (CDSM) +<br>one stroke-specific<br>information session.<br>Duration of the course<br>was 7 weeks (2 hours<br>per night). Courses<br>were delivered in<br>community settings and<br>facilitated by two trained<br>health professionals<br>using the highly<br>structured course<br>protocol.<br>Participants in the<br>control group received<br>usual care. | Outcomes: Stroke<br>Specific Quality of Life<br>Scale (SSQOL).<br>Assessments were<br>conducted every 3<br>months after stroke up<br>to 1 year (4 time<br>points). | Self-efficacy was found to be significant predictor of all<br>outcome variables on the SSQOL (p<0.01). Self-<br>efficacy was therefore included as a covariate in the<br>final models.<br>Physical domain: Over time, participants in the<br>intervention group demonstrated greater improvement<br>in 1/5 SSQoL domains (fine motor task).<br>Psychological domain: Over time, participants in the<br>intervention group demonstrated greater improvement<br>in 3/7 SSQoL domains (family roles, self-care, and work<br>productivity. |
| 2007                                       | CA: M  | confirmed diagnosis of stroke admitted to an   | either during their hospital stay or after   | Observer Assessed  | significantly greater recovery from disability (OAD),<br>compared to the control group (p=0.019).  |

| Study/Type | Quality<br>Rating                             | Sample Description   | Method  | Outcomes   | Key Findings and Recommendations  |
|------------|---|--|---|--|---|
| UK<br>RCT  | Blinding:<br>Patient ⊠<br>Assessor⊠<br>ITT: ⊠ | acute hospital and 172<br>carers.<br>61% of patients were<br>male. Mean age: 69<br>years.<br>61% of carers were<br>female. Mean age was<br>61 years. | discharge. They were<br>randomized to either<br>the control (n=100) or<br>intervention (n=103)<br>group.<br>Intervention involved<br>the use of a workbook<br>for the patient and their<br>caregiver. A "workbook<br>implementer" facilitated<br>the use of the workbook<br>over a five-week period<br>with a home visit during<br>the first, second and<br>last week and phone<br>calls during the third<br>and fourth week.<br>The workbook included<br>stroke related<br>information and<br>strategies and tools for<br>coping. The workbook's<br>focus was to encourage<br>and facilitate patient<br>self-management. | Disability (OAD),<br>Barthel Index (BI)<br>Secondary outcomes:<br>Hospital anxiety &<br>Depression Scale<br>(HADS), SF-36<br>(caregiver), satisfaction<br>(0-10 scale), perceived<br>control (Recovery<br>Locus of Control Scale<br>(RLOC)), confidence (0-<br>10 scale).<br>Assessments were<br>conducted at baseline<br>(<2 weeks after<br>discharge), 8 weeks<br>and at 6 months. | There were no statistically significant differences<br>between groups in in mean BI, HADS or satisfaction<br>with care over time.<br>Significantly more patients in the intervention group<br>were lost to follow-up (28% vs. 16%, p<0.05). |

#### Patient & Caregiver Education and Skills Training

| Study/Type                   | Quality<br>Rating | Sample<br>Description   | Method   | Outcomes  | Key Findings and Recommendations  |
|------------------------------|-------------------|---|--|---|---|
| Patients & Caregiv           | ers               |   |  |   |   |
| Forster et al.<br>2012<br>UK | NA                | 21 RCTs (n=2289<br>patients, n=1290<br>carers) with stroke<br>or TIA. Trials in<br>which information<br>provision was | The effectiveness of<br>an information<br>intervention vs.<br>standard care was<br>examined. | Primary Outcomes:<br>Patient and/or carer<br>stroke and stroke<br>services knowledge,<br>patient and carer mood | Patient outcomes<br>Knowledge: SMD=0.29, 95% CI 0.12 to 0.46, p<0.001.<br>Results from 6 trials (n=536) included. Subgroup analyses<br>did not identify significant differences in effect between<br>passive and active interventions (p>0.05). |

| Quality<br>Rating                  | Sample<br>Description   | Method  | Outcomes  | Key Findings and Recommendations  |
|------------------------------------|---|---|---|---|
|                                    | provided as part of a<br>more complex<br>intervention were<br>excluded.<br>In 19 of the trials,<br>the majority of<br>patients were >60<br>years. | In 14 trials, the<br>intervention was<br>focused on either<br>the patient or carer<br>exclusively.<br>The timing of the<br>intervention was<br>implemented prior to<br>discharge (n=9), at<br>varying times within<br>12 months of stroke<br>(n=7) and was >12<br>months (n=4)  | (e.g., depression and<br>anxiety).<br>Secondary Outcomes:<br>Activities of daily living,<br>participation, social<br>activities, perceived<br>health status, quality of<br>life, satisfaction with<br>information, hospital<br>readmission and service<br>contact, compliance with<br>treatment, and death<br>and/or institutionalization.  | Depression: MD=-0.52, 95% CI -0.93 to -0.10, p<0.05.<br>Results from 7 trials (n=720) included. Subgroup analysis<br>demonstrated a significant difference between passive and<br>active interventions in favor of active information (p<0.05).<br>Information interventions were not associated with significant<br>reductions in anxiety: MD=-0.34, 95% CI -1.17 to 0.50,<br>p>0.05. Results from 7 trials (n=720) included, or reduced<br>odds of death (OR=0.86, 95% CI 0.59 to 1.25, p>0.05.<br>Results from 9 trials (n=1553) included).<br>Satisfaction with stroke information: OR=2.07, 95% CI 1.33<br>to 3.23, p<0.001. Results from 5 trials (n=541) included.<br>Satisfaction with service information: OR=1.18, 95% CI 0.76<br>to 1.83, p>0.05. Results from 4 trials (n=452) included.<br><b>Caregiver outcomes</b><br>Knowledge: SMD=0.74, 95% CI 0.06 to 1.43, p<0.05.<br>Results from 4 trials (n=336) included.<br>Information intervention were not associated with significant<br>reductions in psychological distress (OR=1.13, 95% CI 0.65<br>to 1.97, p>0.05. Results from 4 trials (n=498) included) or<br>increased satisfaction with stroke information (OR=1.78,<br>95% CI 0.88 to 3.60, p>0.05. Results from 2 trials (n=165)<br>included), or satisfaction with service information (OR=1.30,<br>95% CI 0.71 to 2.37, p>0.05. Results from 3 trials (n=214)<br>included)   |
| CA: 🗷<br>Blinding:                 | 188 patients who<br>had been<br>discharged from   | Patients were<br>randomized to a<br>short-term, user-pay,   | Patient outcomes:<br>Chinese version of<br>modified Barthel Index   | At one year, 38 patients in the intervention group and 33 caregivers completed the study and 89 patients in the control group and 78 caregivers in the control group.   |
| Patient ً⊠<br>Assessor ⊠<br>ITT: ⊠ | acute inpatient<br>rehabilitation and<br>had been residing in<br>the community prior<br>to stroke and their<br>caregivers (n=140).                | post-discharge<br>stroke rehabilitation<br>program for<br>approximately 4<br>months, with the aim<br>of discharge home<br>(n=60), or usual care<br>(post-discharge,   | (BI), Mini Mental State<br>Examination (MMSE),<br>Geriatric Depression<br>Scale (GDS), State Self-<br>Esteem Scale (SSES),<br>institutionalization rate<br>Caregiver outcome:   | There were no significant differences between groups at<br>either 4 or 12 months on any of the following outcomes: BI,<br>MMSE, GDS, SSES and ZBI.<br>Mean gain in BI scores from baseline to 12-months for<br>intervention and control groups were: 23.2 vs. 17.4.   |
|                                    | Quality<br>Rating   | Quality<br>RatingSample<br>Descriptionprovided as part of a<br>more complex<br>intervention were<br>excluded.In 19 of the trials,<br>the majority of<br>patients were >60<br>years.CA: ☑188 patients who<br>had been<br>discharged from<br>acute inpatient<br>rehabilitation and<br>had been residing in<br>the community prior<br>to stroke and their<br>caregivers (n=140). | Quality<br>RatingSample<br>DescriptionMethodprovided as part of a<br>more complex<br>intervention were<br>excluded.In 14 trials, the<br>intervention was<br>focused on either<br>the patient or carer<br>exclusively.In 19 of the trials,<br>the majority of<br>patients were >60<br>years.In 19 of the trials,<br>the majority of<br>patients were >60<br>years.CA: Image: CA: Image: | Quality<br>Rating         Sample<br>Description         Method         Outcomes           provided as part of a<br>more complex<br>intervention were<br>excluded.         In 14 trials, the<br>more complex<br>intervention was<br>focused on either<br>the patient or carer<br>exclusively.         In 14 trials, the<br>intervention was<br>focused on either<br>the patient or carer<br>exclusively.         Secondary Outcomes:           In 19 of the trials,<br>the majority of<br>patients were >60<br>years.         The timing of the<br>intervention was<br>implemented prior to<br>discharge (n=9), at<br>varying times within<br>12 months of stroke<br>(n=7) and was >12<br>months (n=4)         Secondary Outcomes:<br>Activities of daily living,<br>participation, social<br>activities, precived<br>health status, quality of<br>life, satisfaction with<br>information, hospital<br>readmission and service<br>contact, compliance with<br>treatment, and death<br>and/or institutionalization.           CA: IM         188 patients who<br>had been<br>discharged from<br>acute inpatient<br>rehabilitation and<br>had been residing in<br>the community prior<br>caregivers (n=140).         Patients were<br>randomized to a<br>short-term, user-pay,<br>post-discharge,<br>rebabilitation in a<br>of discharge home<br>(n=60), or usual care<br>(post-discharge,<br>rebabilitation in a<br>reference of the patient<br>(n=60), or usual care<br>(post-discharge,<br>rebabilitation in a<br>reference of the patient reference<br>(n=60), or usual care<br>(post-discharge,<br>rebabilitation in a<br>reference of the patient<br>tree outcome:         Patient outcomes:<br>Chinese version of<br>Caregiver outcome:<br>Caregiver outcome: |

| Study/Type     | Quality<br>Rating       | Sample<br>Description  | Method   | Outcomes  | Key Findings and Recommendations  |
|----------------|-------------------------|--|--|---|---|
|                |                         | 56% of patients<br>were male. Mean<br>age was 72 years.<br>74% of caregivers<br>were female. Mean<br>age was 55 years.<br>45% of caregivers<br>were spouses. | geriatric day<br>hospital)(n=128).<br>Although the total<br>therapy time was<br>similar between<br>groups (12<br>hours/week),<br>therapies were<br>provided 6<br>days/week vs. 2<br>days/week. | Zarit Burden Interview<br>(ZBI)<br>Assessments were<br>conducted at baseline, 4<br>and 12 months. | The odds of institutionalization at 12 months were higher<br>among patients in the control group (OR=4.96, 95% CI 1.13-<br>21.75), after controlling for age, sex, household income,<br>stroke type, baseline BI score, and cognitive impairment. |
| Ostwald et al. | CA: 🗹                   | 159 stroke survivors   | Dyads were   | Primary outcome:  | Caregivers in the intervention group had significantly  |
| 2014           | Blinding:               | stroke in the  | receive monthly  | status), Geriatric  | improved self-rated health scores at 6 months.  |
| USA            | Patient ⊠<br>Assessor ☑ | previous 12 months<br>and who had been   | mailed information packages related to   | Depression Scale (GDS),<br>Perceived Stress Scale   | There were no significant differences between groups at<br>either 6 or 12 months for the outcomes related to  |
| RCT            | ITT: 🗹                  | discharged home<br>and their spousal   | signs & symptoms of stroke, prevention,  | (PSS), Stroke Impact<br>Scale (patient only), Zarit   | depression, stress and burden.  |
|                |                         | caregivers. Potential<br>participants were   | stress reduction<br>strategies with links  | Burden Scale (caregiver only)   | By 12 months there was significant improvement in 2 domains of the F-COPES (mobilizing family support and   |
|                |                         | identified using   | to support groups,   | Secondary outcomos  | acquiring social support) among caregiver's in the  |
|                |                         | admission hospital   | organizations and<br>National Stroke   | FIM (patient only)  | participants in the control group.  |
|                |                         |  | Association  | Caregiver Preparedness  | Stroke survivors in the intervention group demonstrated   |
|                |                         | 75% of caregivers<br>were female. Mean<br>age was 62 years.  | periodical (n=80) or<br>information<br>packages + home   | Scale (caregiver only),<br>Medical Outcomes Study<br>(MOS (caregiver only), F-                    | greater improvement in self-rated health status at 6 months.<br>There were no other significant differences on any of the<br>other primary outcomes.  |
|                |                         |  | based visits for 6   | COPES (caregiver only)  |   |
|                |                         |  | months by advance<br>care practice nurses.   | Assessments were  | Stroke survivors in the intervention group had increased their<br>FIM (cognitive) scores significantly more than those in the   |
|                |                         |  | occupational and   | conducted at baseline, 6  | control group. There were no other significant differences on   |
|                |                         |  | physical therapists.   | and 12 months.  | any of the other secondary outcomes.  |
|                |                         |  | the 6-month study  |   |   |
|                |                         |  | period, dyads  |   |   |
|                |                         |  | visits (70 minutes   |   |   |
| Eames et al.   | CA: ☑                   | 138 stroke/TIA   | Patients and their   | Primary Outcome:  | No significant between group differences were found with  |
| 2013           |                         | patients and their   | carers were  | -   | respect to stroke knowledge at 3-month follow-up.   |

| Study/Type   | Quality<br>Rating  | Sample<br>Description  | Method   | Outcomes  | Key Findings and Recommendations   |
|--|--|--|--|---|--|
| Australia<br>RCT   | Blinding:<br>Patient ⊠<br>Therapist⊠<br>Assessor⊡<br>ITT: ⊠          | carers; only 55.5%<br>of participating<br>patients were paired<br>with participating<br>carers. Patients<br>who were admitted<br>from residential care<br>and/or were<br>expected to be<br>discharged to<br>residential care were<br>excluded.<br>28% and 60% of<br>patients and carers,<br>respectively,<br>assessed for<br>eligibility were<br>enrolled in the trial | randomized to<br>receive an education<br>and support<br>package (n=71) or<br>usual care (n=67) for<br>3 months. The<br>intervention<br>consisted of an<br>individually tailored<br>information package<br>and verbal<br>reinforcement.<br>Usual care included<br>unstructured,<br>informal education<br>provided by stroke<br>unit team members.   | Knowledge of Stroke<br>Questionnaire<br>Secondary Outcomes:<br>Self-efficacy (9 domains),<br>Hospital Anxiety &<br>Depression (HAD) Scale,<br>feeling of being informed,<br>satisfaction with<br>information, Caregiver<br>Strain Index (caregivers<br>only), and Stroke &<br>Aphasia QoL-39 Generic<br>(patients only)<br>Assessments were<br>conducted prior to<br>hospital discharge and at<br>2 month follow up                                 | Patients in the intervention group reported significantly<br>greater self-efficacy (access to stroke information domain,<br>p<0.04), feeling of being informed (p<0.01), and satisfaction<br>with medical (p<0.001), practical (p<0.01), service/benefit<br>(p<0.05), and secondary prevention (p<0.001) information<br>received.<br>No other significant between group differences were<br>reported.  |
| Forster et al.<br>2013<br>UK<br>Training<br>Caregivers<br>After Stroke<br>(TRACS)<br>Cluster RCT | CA: ☑<br>Blinding:<br>Patient ⊠<br>Therapist⊠<br>Assessor☑<br>ITT: ☑ | <ul> <li>928 patients with acute ischemic or hemorrhagic stroke admitted to a stroke unit, expected to return home, and their caregivers.</li> <li>56% of patients were male. Mean age was 71 years.</li> <li>69% of caregivers were female. Mean age was 61 years.</li> </ul>   | 36 clusters (stroke<br>units with high<br>percentages of<br>patients expected to<br>be discharged<br>home) were<br>randomized to an<br>intervention (n=18)<br>or control group<br>(n=18).<br>450<br>patient/caregiver<br>dyads in the<br>intervention group<br>participated in the<br>London Stroke<br>Carers Training<br>course (LSCTC)<br>(same protocol as<br>Kalra et al. 2004).<br>The protocol | Primary patient         outcome:         Nottingham EADL at 6         months         Secondary patient         outcomes:         Hospital Anxiety &         Depression (HAD) Scale,         cost effectiveness at 6         months         Primary caregiver         outcome:         Caregiver's Burden Scale         (CBS) at 6 months         Secondary caregiver         outcomes:         Frenchay Activities Index         (FAI), HADS, EQ-5D | <ul> <li>146 and 145 patients lost to follow up in the intervention and control groups, respectively.</li> <li>At 6 months there was no significant difference in the mean patient NEADL scores between groups (27.4 vs. 27.6, p=0.866) or caregiver's CBS scores (45.5 vs. 45.0, p=0.660).</li> <li>There were no significant differences between groups for either the patient or the caregiver at 6 and 12 months for any of the secondary outcomes.</li> <li>Based on both the social and social + health perspectives, the probabilities that the intervention would be considered cost-effective for either the patient or the caregiver, were low.</li> </ul> |

| Study/Type                 | Quality<br>Rating   | Sample<br>Description   | Method  | Outcomes  | Key Findings and Recommendations  |
|----------------------------|---|---|---|---|---|
| Kalra et al. 2004          | СА: 🗹   | 300 patients<br>admitted to a stroke  | emphasized skills<br>essential for daily<br>management of ADL<br>and was hospital-<br>based. 478<br>patient/caregiver<br>dyads received<br>usual care.<br>Patient/caregiver<br>dyads were   | Assessments were<br>conducted at 6 and 12<br>months.<br>Patient Outcomes:<br>Mortality,   | Patients outcomes: There were no significant differences between groups at either 3- or 12-months post stroke in  |
| UK<br>RCT                  | Blinding:<br>Patient ⊠<br>Therapist⊠<br>Assessor⊡<br>ITT: ⊠ | rehabilitation unit<br>who were previously<br>independent in ADLs<br>prior to stroke and<br>their caregiver.<br>80% of patients<br>were male. Median<br>age was 76 years. | randomized to<br>receive the London<br>Stroke Carers<br>Training course<br>(LSCTC) (n=151) or<br>conventional<br>caregiver instruction<br>(n=149). Caregivers<br>in the intervention<br>group received<br>instruction about<br>caring for a stroke<br>patient and "hands-<br>on" training in basic<br>nursing techniques,<br>whereas<br>conventional<br>instruction consisted<br>of information and<br>advice. 3-5, 30-45<br>minute sessions<br>were provided,<br>depending on need,<br>prior to discharge<br>from inpatient<br>rehabilitation. | institutionalization,<br>modified Rankin Scale<br>(mRS), Barthel Index<br>(BI), Frenchay Activities<br>Index (FAI), Hospital<br>Anxiety and Depression<br>(HAD) Scale, EuroQol<br>VAS, a satisfaction<br>questionnaire, and cost<br>of care.<br><b>Caregiver Outcomes:</b><br>FAI, HAD, Caregiver<br>Burden Scale (CBS),<br>EuroQol VAS, and a<br>satisfaction<br>questionnaire.<br>Assessments were<br>conducted at baseline<br>and at 3 and 12 months<br>post-stroke onset. | <ul> <li>mortality, the need for institutionalization, combined<br/>mortality, need for institutionalization, or the number of<br/>patients who were independent (mRS scores 0-2).</li> <li>At 3 months, (but not 12 months), a significantly higher<br/>number of patients had achieved a BI score &gt;18 (77% vs.<br/>52%, p=0.007).</li> <li>Patients in the intervention group had significantly lower<br/>median HAD scores and higher EuroQol scores at both 3<br/>and 12 months.</li> <li>Caregiver outcomes: Caregivers who received structured<br/>training reported significantly less caregiver burden (p&lt;0.001<br/>at both 3 and 12 months) and anxiety and depression (both<br/>at p&lt;0.001 at 12 months) and improved quality of life<br/>(p&lt;0.001 at both 3 and 12 months).</li> </ul> |
| Smith et al.<br>2004<br>UK | CA: ☑<br>Blinding:<br>Patient ☑<br>Assessor☑                | 170 patients<br>admitted to a stroke<br>rehabilitation unit<br>and their carers<br>(n=97).  | Patient/caregiver<br>dyads were<br>randomized to<br>receive either usual<br>care (n=86) or an   | <b>Primary outcome:</b><br>Knowledge of Stroke<br>questionnaire (developed<br>specifically for the study),  | There were no significant differences in stroke knowledge<br>between treatment groups at 3 & 6-month assessment, nor<br>were there significant changes in knowledge scores between<br>or within groups from baseline to 6 months (carers and<br>patients).  |

| Study/Type        | Quality<br>Rating                    | Sample<br>Description   | Method  | Outcomes   | Key Findings and Recommendations   |
|-------------------|--------------------------------------|---|---|--|--|
| RCT               | ITT: 🗹                               | Median age of<br>patients was 74.5<br>years. 50% of<br>patients were male.<br>Median age of<br>carers was 66 years.<br>61% were female. | education<br>intervention<br>consisting of a<br>Stroke Recovery<br>Programme Manual<br>and hospital-based<br>20 minute bi-weekly<br>meetings with the<br>multi-disciplinary<br>care team to receive<br>information, discuss<br>progress and<br>develop goals<br>(n=84). | completed by both<br>patient and carer<br>Secondary outcomes:<br>Barthel Index (BI),<br>Frenchay activities Index<br>(FAI), London Handicap<br>Scale (LHS), Hospital<br>Anxiety & Depression<br>Scale (HAD), GHQ-28<br>(carer), and patient and<br>carer satisfaction. | At 3 and 6 months, participants in the education program<br>had significantly greater reductions in HAD scores (anxiety<br>component).<br>There were no other significant differences reported between<br>treatment groups, although patients and carers in the<br>intervention group reported higher levels of satisfaction with<br>the amount of information they had received and with the<br>amount of contact post discharge. |
| Clark et al. 2003 | CA: 🗹                                | 68 patients with<br>ischemic or   | Patient/caregiver dyads were  | Patient outcomes:<br>Family Assessment   | Over time, the mean FAD scores for patients and carers improved significantly, while the scores for those in the   |
| Australia         | Blinding:                            | hemorrhagic stroke  | randomized at   | Device (FAD), Barthel  | control group declined.  |
| RCT               | Patient ⊠<br>Therapist⊠<br>Assessor⊠ | 58% of patients<br>were male. Mean ag<br>was 72 years. Mean   | the intervention<br>group, receiving a<br>stroke information<br>package and 3, one-   | Activities Profile (AAP),<br>SF-36, Geriatric<br>Depression Scale (GDS),<br>Hospital Anxiety &   | Mean FAD and BI scores had improved significantly more<br>among patients in the intervention group at 6 months.<br>There were no significant differences between groups on SF-   |
|                   | 111: 🗷                               | age of spouses was<br>72 years.   | hour counselling<br>visits from a social<br>worker (n=35) or the  | Depression scale (HAD),<br>Mastery Scale   | 36, HAD, GDS, or Mastery at 6 months.  |
|                   |                                      |   | control group,<br>receiving no<br>information or  | Carer outcomes:<br>FAD, SF-36  |  |
|                   |                                      |   | counselling (n=33)  | Assessments were<br>conducted at admission,<br>discharge from inpatient<br>rehabilitation and 6<br>months after discharge.   |  |
| Rodgers et al.    | CA: ⊠                                | 204 stroke patients   | Patient/caregiver   | Primary outcome  | Participation in the SEP program was low with 51 patients<br>and 20 carers attending $\geq$ 3 sessions   |
| 1333              | Blinding:                            | caregivers (n=176).   | randomized to   | SF-36  | and 20 Garcis allending 20 Sessions.   |
| UK                | Patient 🗵                            |   | receive either an   |  | There were no significant differences between groups in 6-   |
| RCT               | Therapist                            | 52% of the patients were female. Mean   | Invitation to attend  | Secondary outcomes   | month median SF-36 scores (including combined scores<br>from both patients and caregivers). Median social functioning  |
|                   | Assessor⊠                            | age was 75 years.   | Program (SEP) or conventional stroke  | Stroke knowledge, satisfaction with services,  | score of caregivers in the SEP group was significantly lower $(62.5 \text{ vs. } 100, \text{p}=0.04).$   |

| Study/Type                    | Quality<br>Rating  | Sample<br>Description  | Method  | Outcomes   | Key Findings and Recommendations  |
|-------------------------------|--|--|---|--|---|
|                               | ITT: Ø   | 69% of caregivers<br>were female. Mean<br>age was 59 years.  | unit care (control).<br>The SEP involved<br>one 1-hour small<br>group education<br>sessions for<br>inpatients and their<br>carers, followed by<br>six 1-hour<br>educational sessions<br>after discharge. The<br>control group had<br>access to<br>information leaflets<br>about stroke.   | Hospital Anxiety &<br>Depression Scale (HAD),<br>Nottingham Extended<br>ADL, Oxford Handicap<br>Scale (OHS)<br><b>Caregiver outcomes:</b><br>Presence of a possible<br>psychiatric disorder,<br>stroke knowledge<br>Assessments were<br>conducted at 6 months in<br>the patient/caregiver's<br>home  | The only significant difference between groups on any of the patient secondary outcomes was higher stroke knowledge among patients in the SEP group (p=0.02). Caregiver stroke knowledge was also significantly better among those in the SEP group (p=0.01).   |
| Mant et al. 1998<br>UK<br>RCT | CA: ☑<br>Blinding:<br>Patient ☑<br>Therapist⊠<br>Assessor☑<br>ITT: ☑ | 71 patients admitted<br>to hospital following<br>acute stroke and<br>their informal<br>caregivers<br>(n=49)<br>65% of the patients<br>were male. Mean<br>age was 73 years. | Patient/caregiver<br>dyads were<br>randomly assigned<br>to an intervention<br>group that received<br>information<br>packages, delivered<br>to their homes one<br>week after<br>randomization,<br>related to stroke, its<br>effects, and local<br>contact names and<br>support groups<br>(n=48) or a control<br>group that received<br>no information<br>package (n=45). | Patient outcomes:<br>Stroke knowledge (study<br>specific questionnaire),<br>satisfaction with<br>information received,<br>London Handicap Scale<br>(LHS), Hospital Anxiety &<br>Depression Scale (HAD)<br>Dartmouth Coop Chart<br>Caregiver outcomes:<br>Stroke knowledge (study<br>specific questionnaire),<br>satisfaction with<br>information received, SF-<br>36, Carer Strain Index<br>(CSI)<br>Assessments were<br>conducted at 6 months<br>post stroke. | The odds of a correct response were significantly higher for<br>patients in the intervention group for 1/11 knowledge<br>questions. The odds of a correct response were not<br>significantly higher for any of the questions for caregivers in<br>the intervention group.<br>The odds of being satisfied with the information received<br>were not higher for patients or caregivers in the intervention<br>group.<br>There were no significant differences between groups for<br>any of the other outcomes except for significantly higher<br>median SF-36 score (mental health) among caregivers in the<br>control group (84 vs. 72, p=0.04). |
| Patients Only                 | I  | I  | I   |  |   |
| Hoffman et al.<br>2007        | CA: ☑<br>Blinding:   | 138 patients who<br>had been admitted<br>to the stroke unit of   | Participants were<br>randomly assigned<br>to receive either   | Primary outcome:<br>Knowledge of Stroke<br>Questionnaire   | There were no significant differences between groups in mean change scores for stroke knowledge or self-efficacy or HAD (depression). The mean HAD anxiety scores had   |

| Study/Type       | Quality<br>Rating       | Sample<br>Description   | Method  | Outcomes   | Key Findings and Recommendations   |
|------------------|-------------------------|---|---|--|--|
| Australia        | Patient ⊠<br>Assessor ⊠ | a single hospital<br>following stroke or  | computer-generated<br>tailored written  | (developed specifically for the study)   | improved significantly more among patients in the control group.   |
| KU I             | ITT: ⊠                  | 55% of patients<br>were male (there<br>was a significantly<br>higher number of<br>males in the<br>intervention group).<br>Mean age was 68<br>years. | Information (n=69) of<br>generic written<br>information (n=69).<br>The intervention<br>material was<br>customized for each<br>patient based on<br>collaboration<br>between the nurse<br>and the patient.<br>Patients selected<br>from 34 available<br>topics and<br>determined their<br>optimal format for<br>layout and<br>presentation. Pre-<br>printed fact sheets<br>related to stroke<br>were provided to<br>patients in the<br>control group. | Secondary outcomes:<br>Hospital Anxiety &<br>Depression Scale<br>(HADS), COOP charts,<br>satisfaction with provided<br>information.<br>Assessments were<br>conducted at baseline<br>and 3 months | Patients receiving the intervention were significantly more satisfied with the content (p=0.003) and presentation (p<0.001) of the written information they received. Significantly more patients in the control group expressed a desire for additional information (p<0.001).  |
| Lowe et al. 2007 | CA: ☑                   | 100 consecutive   | Patients were   | Primary outcome:   | At 3 and 6 months following stroke, patients in the CareFile   |
|                  | Dlin din av             | stroke patients   | randomized at the   | Stroke Knowledge   | group demonstrated significantly greater stroke knowledge  |
| UN               | Patient 🗷               | stroke unit.  | diagnosis to receive  |  | (p<0.05  and  p<0.005,  respectively).   |
| RCT              | Therapist               |   | either usual care   |  | At six months, 98% patients in the intervention group  |
|                  | Assessor⊠<br>ITT· ⊠     | The median age was<br>73 years. 60% of<br>patients were male.   | (stroke information<br>handouts)(n=50) or<br>usual care + the   | Secondary outcomes:<br>Satisfaction with<br>Information Given,<br>utilization of CareFile.   | reported that the CareFile provided useful information and 53% said that they used it as reference material regarding their stroke.  |
|                  |                         |   | "CareFile" (n=50).<br>The CareFile<br>consisted of a book<br>containing general<br>information,<br>community<br>contacts/local<br>support agencies.   | blood pressure, mood<br>(Yale single item)<br>Assessments were<br>conducted at baseline, 3<br>and 6 months   | <ul> <li>While 98% of all patients reported that they would<br/>recommend its use to other stroke patients, at 3 &amp; 6 months<br/>&lt;30% of patients in both groups reported receiving sufficient<br/>information about stroke causes and prevention.</li> <li>There were no significant between group differences<br/>regarding satisfaction with information received.</li> </ul> |

| Study/Type                                   | Quality<br>Rating | Sample<br>Description   | Method  | Outcomes  | Key Findings and Recommendations  |
|--|-------------------|---|---|---|---|
|  |                   |   | and patient-specific<br>information. Any<br>information not<br>pertinent for the<br>individual patient<br>was removed. The<br>contents of the file<br>were reviewed with<br>the patient at a<br>bedside discharge<br>meeting – patients<br>were advised to take<br>the file with them to<br>future appointments.  |   | There were no significant differences between groups at any of the assessment points in blood pressure or mood.   |
| Caregiver Only                               |                   |   |   |   |   |
| Legg et al. 2011<br>UK<br>Cochrane<br>Review | NA                | 8 RCTs (n=1007<br>participants)<br>including informal<br>caregivers of stroke<br>survivors. | RCTs examining<br>non-pharmacological<br>interventions<br>designed to<br>positively impact on<br>caregivers'<br>knowledge, beliefs,<br>attitude or behaviour<br>vs. no care or<br>routine care.<br>Interventions<br>included: providing<br>information and<br>support (n=4),<br>psycho-education<br>(n=3), and teaching<br>procedural<br>knowledge (n=1). | Primary Outcome:<br>Caregiver stress, strain,<br>and well-being at end of<br>follow up<br>Secondary Outcomes:<br>Levels of stress, distress,<br>anxiety, depression,<br>health related quality of<br>life, and satisfaction | Primary outcomesTeaching procedural knowledge:The single study (Kalra et al 2004) demonstrated reducedcaregiver stress and strain (MD= -8.67, 95% Cl: -11.3 to - $6.04$ , p<0.001). |

| Study/Type            | Quality<br>Rating       | Sample<br>Description   | Method  | Outcomes  | Key Findings and Recommendations  |
|-----------------------|-------------------------|---|---|---|---|
| King et al. 2012      | CA: ⊠                   | 255 caregivers who were identified  | Caregivers were<br>randomized to either   | Caregiver outcomes:<br>Centre for   | There were no significant differences in outcomes between the intervention and control group over time.   |
| USA                   | Blinding:               | during acute  | the intervention  | Epidemiological Studies-  |   |
| RCT                   | Patient ⊠<br>Assessor ☑ | rehabilitation of a stroke survivor, to be  | (n=136) or control<br>(n=119) group. The  | Depression Scale (CES-<br>D). Bakas Caregiving  | The mediator variable, caregiving appraisal (threat appraisal domain), was significantly associated with greater  |
|                       | ITT:                    | <ul> <li>discharged back</li> <li>home.</li> <li>78% of caregivers</li> <li>were female (62%</li> <li>were spouses).</li> <li>Mean age was 54.5</li> <li>years.</li> <li>40% of patients</li> <li>were male. Mean</li> <li>age was 61 years.</li> </ul> | intervention was a<br>caregiver problem<br>solving intervention<br>(CPSI) that involved<br>10 sessions with a<br>clinical psychology<br>student (advanced)<br>and focused on skills<br>for problem solving<br>and coping with the<br>stressors of<br>caregiving. The<br>control group was a<br>wait-list group that<br>received usual care. | D), Datas Caregiving<br>Outcome Scale (BCOS),<br>Preparedness for<br>Caregiving Scale), Profile<br>of Moods Scale (5-item<br>Tension-Anxiety<br>subscale),<br>And General Functioning<br>scale of the McMaster<br>Family Assessment<br>Device (FAD).<br><b>Moderator/mediator</b><br><b>variables:</b><br>Caregiver perceived<br>health, Appraisal of<br>Caregiving Scale, social<br>Problem-Solving SPSI-R<br>short form<br>Outcomes were<br>assessed at baseline 3- | depression and anxiety, less preparedness and more<br>negative perceived life change. (p<0.0001) over the study<br>period.<br>At 3-4 months after discharge (time 2), caregivers in the<br>intervention group experienced significant lower levels of<br>depression and improved health and perceived caregiver<br>outcomes compared to wait-list controls. These differences<br>were not maintained at 6 or 12 months. |
|                       |                         |   |   | assessed at baseline, 3-  |   |
|                       |                         |   |   | discharge.  |   |
| Bakas et. al.<br>2009 | CA: ☑<br>Blinding:      | 50 caregivers of stroke survivors with ongoing needs.   | Participants were<br>randomized to<br>receive either  | <b>Primary outcomes:</b><br>Optimism: Revised Life<br>Orientation Test (LOT-R),   | There were significant increases in caregiver optimism at all 3 follow up time points in the TASK group compared with the control group (4-week, p=0.02; 8 weeks, p=0.02; 12 weeks  |
| RCT                   | Patient 🗷<br>Assessor 🕅 | 73% of caregivers   | Telephone<br>Assessment &   | task difficulty: Oberst<br>Caregiving Burden Scale  | p=0.02), but significant decreases in task difficulty only reported at 4 weeks ( $p=0.03$ ).  |
| USA                   |                         | were female. The  | Skills-Building Kit   | Difficulty Subscale   |   |
|                       | ITT: ⊠                  | mean age 57 years.<br>64% of stroke<br>survivors were male<br>(significantly more<br>males in the control   | (TASK) intervention<br>(n=21) or a control<br>group (n=19). TASK<br>intervention<br>consisted of<br>participants being  | (OCBS), threat appraisal:<br>Appraisal of Caregiving<br>Threat subscale (ACS),<br>depressive symptom<br>assessment: Patient<br>Health Questionnaire   | Threat appraisal skills were significantly improved in the TASK group at both 8 (p=0.02) and 12 weeks (p=0.01).   |

| Study/Type                                 | Quality<br>Rating                                       | Sample<br>Description   | Method  | Outcomes  | Key Findings and Recommendations  |
|--|---|---|---|---|---|
|  |   | group). Mean age<br>was 65 years.   | mailed a notebook<br>containing 38 written<br>tip sheets<br>addressing 5 areas<br>of skill building<br>needs, a stress<br>management<br>workbook, and a<br>brochure on family<br>caregiving. The<br>intervention groups<br>also received weekly<br>calls (for 8 weeks)<br>by a nurse to<br>provide<br>individualized<br>interventions in<br>priority skill areas<br>identified by the<br>caregiver.<br>Individuals in the<br>control group<br>received a brochure<br>on family caregiving,<br>as well as weekly<br>calls from a nurse<br>who did not provide<br>any advice or<br>information. | Depression Scale (PHQ-<br>9), life changes: Bakas<br>Caregiving Outcomes<br>Scale (BCOS), and<br>general health<br>perception: SF-36<br>Assessments were<br>conducted at baseline, 4,<br>8, and 12 weeks  |   |
| Draper et. al.<br>2007<br>RCT<br>Australia | CA: I<br>Blinding:<br>Patient I<br>Assessor I<br>ITT: I | 39 caregivers of<br>aphasic stroke<br>patients living at<br>home with a<br>persistent language<br>deficit <12 months<br>post stroke, who<br>were recruited from<br>rehabilitation<br>hospitals. | Caregivers were<br>randomized to<br>receive either<br>immediate (n=19) or<br>delayed (n=20)<br>treatment after a 3-<br>month waiting<br>period. The<br>intervention<br>consisted of group<br>sessions addressing<br>education, skills   | Primary outcomes:<br>General Health<br>Questionnaire- 28 item<br>version (GHQ-28),<br>Relatives' Stress Scale<br>(RSS), a communications<br>questionnaire<br>Assessments were<br>conducted at baseline,<br>post treatment, and 3<br>months post treatment | The mean total GHQ-28 scores of caregivers in the immediate group were significantly reduced post treatment (6.26 to 3.21, p=0.006), but were not significantly different from baseline at 3-month follow-up (4.26). The same pattern of results was noted for caregivers in the wait-listed group. There were no significant improvements in RRS scores or communication skills from baseline to end of treatment between treatment groups (immediate and delayed groups). |

| Study/Type | Quality<br>Rating | Sample<br>Description   | Method   | Outcomes | Key Findings and Recommendations |
|------------|-------------------|---|--|----------|----------------------------------|
|            |                   | Mean caregiver age:<br>intervention, 64<br>years; control, 60<br>years. | training, support,<br>and stress<br>management<br>(coping skills for<br>depression and<br>anxiety).<br>Sessions occurred<br>weekly for 4 weeks<br>(2 hours sessions) |          |                                  |

### Caregiver Involvement in Rehabilitation

| Study/Type     | Quality<br>Rating | Sample<br>Description                            | Method                                       | Outcomes   | Key Findings and Recommendations  |
|----------------|-------------------|--|--|--|---|
| Lindley et al. | CA: 🗹             | 1,250 patients                                   | Patients were                                | Primary outcome                                  | At 6 months, the proportion of patients who were dead or  |
| 2017           | D                 | recruited from 18                                | randomized to receive                        | Death or dependency                              | disabled was the same in both groups 285 (47%)  |
| The Attend     | Blinding:         | hospitais in India                               | the intervention                             | (MRS score 3-6) at 6                             | Intervention vs: $287(47\%)$ .  |
| Collaborative  |                   | 2014 and February                                | (n=623) of usual care<br>(n=627) Family      | monuis   | Adjusted for study site stroke severity are sex income  |
| Group          | //0000001 E       | 2014 and representative 2016, who were $\geq 18$ | members of those                             | Secondary outcomes:                              | and education $OR=1.02$ : 95% CI: 0.80 to 1.31, p = 0.87  |
| (ATTEND)       | ITT: 🗹            | years who had a                                  | assigned to the                              | LOS, place of                                    |   |
|                |                   | stroke and who had                               | intervention group                           | residence, BI,                                   | There were no significant differences between groups for  |
|                |                   | an informal family-                              | received additional                          | Nottingham EADL                                  | any of the secondary outcomes including:  |
| India &        |                   | nominated  | structured                                   | scale, Quality of life                           | Rehospitalization: 14% vs. 13%, p 0.56<br>Mean PL approx $22.1 (22.00)$ vs. $22.6 (22.10)$ n = 0.74 |
| Australia      |                   | was 57 7 years 67%                               | including information                        | the EuroOol Group 5-                             | Mean Nottingham EADI : 31.0 (17.67) vs. 31.2 (17.52) n –  |
| RCT            |                   | were men. 91% of                                 | provision, ioin goal                         | Dimension Self-Report                            | 0.86  |
|                |                   | patients were<br>married, 41% of                 | setting, carer training<br>and task-specific | Questionnaire), patient<br>and caregiver anxiety | Mean caregiver burden score: 17.7 vs. 17.6, p=0.52  |
|                |                   | caregivers were                                  | training that was                            | and depression (HADS)                            | There were no significant differences in HADS scores  |
|                |                   | spouse.  | started in hospital and                      | and the Caregiver                                | (patient or caregiver), or any of the domains of the  |
|                |                   |  | continued at home for                        | Burden Scale                                     | WHOQOL0BREF or EQ-5D between groups   |
|                |                   |  | Z monuns.                                    |  | In subgroup analysis, man had reduced odds of death or  |
|                |                   |  |  |  | dependency at 6 months compared to women (Men: OR=  |
|                |                   |  |  |  | 0.83; 95% CI: 0.63 to 1.10 vs. Female: OR=1.39; 95% CI:   |
|                |                   |  |  |  | 0.93 to 2.05, p for interaction = 0.0374).  |

| Study/Type  | Quality<br>Rating | Sample<br>Description  | Method   | Outcomes  | Key Findings and Recommendations   |
|---|-------------------|--|--|---|--|
| Vloothuis et al.<br>2016<br>Netherlands<br>Cochrane<br>Review | NA                | 6 RCTs including<br>333 patient-<br>caregiver couple –<br>recovering from<br>stroke. The mean<br>age in all studies<br>was approximately<br>60 years. Mean time<br>since onset ranged<br>from 15 days to 10<br>years<br>(3 additional trials<br>were identified but<br>not included in the<br>pooled analyses) | Trials compared the<br>effectiveness of<br>caregiver-mediated<br>exercises (CME) vs.<br>usual care, no<br>intervention, or other<br>non- caregiver-<br>mediated<br>interventions. Two<br>trials were aimed at<br>the lower body, 5 at<br>the upper body and 2<br>at both upper and<br>lower body. The tasks<br>of the caregiver<br>included supervision,<br>guidance,<br>encouragement<br>and/or physical help.<br>The frequency of the<br>intervention ranged<br>from daily to twice a<br>week and was<br>provided for 30<br>minutes to 3<br>hours/day. Program<br>length ranged from<br>14 days to 6 months. | <ul> <li>Primary Outcomes:<br/>Patient: basic ADL<br/>measures (e.g. BI, FIM,<br/>mRS), Extended ADL<br/>measures (e.g. NEADL,<br/>FAI)</li> <li>Caregiver: Burden (e.g.<br/>CSI)</li> <li>Secondary Outcomes:<br/>Patient: motor<br/>impairment, gait and<br/>gait-related measures,<br/>measures of upper limb<br/>activities or function,<br/>measures of mood and<br/>QoL for patient,<br/>measures of fatigue,</li> <li>Caregiver: measures of<br/>mood and QoL</li> </ul> | Primary outcomesThere was no significant effect of CME on basic ADL at<br>post-intervention (SMD=0.21; 95% CI: -0.02 to 0.44, p = 0.07[4 studies; moderate-quality evidence]) or follow-up at 3-6<br>months (MD= 2.69; 95% CI: -8.15 to 13.55, p = 0.63 [2<br>studies; low-quality evidence]). In a sensitivity analysis<br>restricted to trials that examined only CME interventions<br>(CME-core) the intervention was associated with<br>improvement in ADL performance, using the Barthel Index<br>(MD= 9.45, 95% CI 2.11 to 16.78; p = 0.01; [2 studies;<br>moderate-quality evidence]).There was no significant effect of CME on extended ADL at<br>at post-intervention (SMD=0.07; 95% CI -0.21 to 0.35, p =<br>0.64 [2 studies; low-quality evidence]), or follow-up at 3-6<br>months (SMD= 0.11; 95% CI: -0.17 to 0.39, p = 0.45 [2<br>studies; low-quality evidence])There was no significant effect of CME on caregiver burden<br>at the end of intervention (SMD= -0.04; 95% CI: -0.45- 0.37,<br>p = 0.86 [2 studies; moderate-quality evidence]) or follow-up<br>(MD= 0.60; 95% CI: -0.71 to 1.91, p = 0.37 [1 studies; very<br>low-quality evidence]).Secondary outcomes:<br>CME significantly improved standing balance (SMD=0.53,<br>95% CI 0.19- 0.87; p = 0.002 [3 studies; low-quality<br>evidence], QoL (1 study; very low-quality evidence),<br>physical functioning (MD=12.40, 95% CI 1.67 to 23.13; p =<br>0.0008), general recovery (MD 15.10, 95% CI 8.44 to 21.76;<br>p < 0.00001) and performance on the 6-Minute Walking Test<br>(MD= 10.95 m, 95% CI 17.12 to 201.88; p = 0.02 [1 study;<br>very low-quality evidence])Patients in the control group did significantly better on<br>performance time for the Wolf Motor Function test (MD= -<br>1.72, 95% CI -2.23 to -1.21; p < 0.00001[2 studies; low-<br>quality evidence]). |

| Study/Type   | Quality<br>Rating                                       | Sample<br>Description  | Method  | Outcomes   | Key Findings and Recommendations  |
|--|---|--|---|--|---|
|  |   |  |   |  | There were no significant effects for the other secondary<br>outcomes (i.e. patient: motor impairment, upper limb<br>function, mood, fatigue, length of stay and adverse events;<br>caregiver: mood and quality of life).   |
| Barzel et al.<br>2015<br>Germany<br>Cluster RCT<br><i>HOMECIMT</i> | CA: ☑<br>Blinding:<br>Patient ⊠<br>Assessor ☑<br>ITT: ☑ | 156 patients ≥18<br>years, cognitively<br>intact with mild to<br>moderate<br>impairment of arm<br>function, associated<br>with a stroke<br>sustained at least 6<br>months earlier, who<br>had a caregiver<br>prepared to be a<br>non-professional<br>coach. Mean age<br>was 63 years, 40%<br>were women. | 71 practices were<br>stratified by region<br>and randomized 1:1 to<br>either home<br>constraint-induced<br>movement therapy<br>(CMIT, n=85 patients)<br>or standard therapy<br>(n=71 patients) for 4<br>weeks. In the home<br>CIMT group,<br>therapists conducted<br>5 home visits to<br>instruct the patient<br>and the coach in the<br>principles of home<br>CIMT, set goals and<br>work through<br>exercises, focusing on<br>everyday practice.<br>Patients were<br>instructed to train in<br>their home<br>environment for 2 h<br>each day,<br>accompanied by a<br>coach and to wear a<br>mitten to immobilize<br>their non-affected<br>hand during the<br>exercises. Patients in<br>the standard therapy<br>groups received 5<br>hours of routine<br>therapy provided by a<br>therapist. | Primary outcomes:<br>Motor Activity Log<br>(MAL), Wolf Motor<br>Function Test (WMFT),<br>assessed after the<br>intervention<br>Secondary outcomes:<br>Motor Activity Log<br>(MAL), Wolf Motor<br>Function Test (WMFT),<br>assessed at 6 months,<br>9-Hole Peg Test, ADL,<br>IADL | At the end of treatment, patients in both groups had<br>significantly improved MAL (QOM) scores, but the change in<br>scores from baseline was significantly greater for patients in<br>the CIMT group (adjusted mean change from baseline (0.56<br>vs. 0.31, MD= 0.26, 95% CI 0·05–0·46, p=0·0156).<br>Both groups improved on the WMFT (performance time)<br>from baseline to 4 weeks, although the difference between<br>groups was not significant (-25.6% vs27.5%, MD=2·65%<br>(-17·94 to -28·40, p=0·815).<br>At 6 months follow-up, the mean difference from baseline in<br>MAL (QOM and AOU sub scores) was significantly greater<br>for CIMT patients.<br>At 6 months follow-up there were no significant differences<br>between groups in mean change from baseline for WMFT<br>(performance time) or WMFT (functional ability).<br>At 6 months follow-up there were no significant differences<br>between groups in mean change from baseline for any of the<br>other secondary outcomes.<br>9 patients were lost to follow-up (5 CIMT, 4 standard<br>therapy) |

| Study/Type                           | Quality<br>Rating                                       | Sample<br>Description   | Method   | Outcomes   | Key Findings and Recommendations  |
|--------------------------------------|---|---|--|--|---|
| Wang et al.<br>2015<br>Taiwan<br>RCT | CA: I<br>Blinding:<br>Patient I<br>Assessor I<br>ITT: I | 51 participants with<br>chronic stroke and<br>mild to moderate<br>disability (> 6<br>months; Brunnstrom<br>recovery stages III-<br>V), living at home,<br>had family members,<br>friends, or paid<br>workers as<br>caregivers and still<br>required assistance<br>to accomplish<br>everyday activities.<br>Mean age was 63<br>years, 58% were<br>men. | Patients and their<br>caregivers were<br>randomized to an<br>(n=25) or control arm<br>(n=26).<br>In the intervention<br>arm, patients and their<br>caregivers<br>participated in a 3-<br>phase, 12-week<br>weekly personalized<br>caregiver-mediated,<br>home-based<br>intervention (CHI)<br>program. A physical<br>therapist visited the<br>patient once a week<br>for approximately 90<br>minutes to teach<br>patients personalized<br>rehabilitation skills<br>and to teach the<br>caregiver the skills<br>necessary to assist<br>the patient in<br>performed the<br>planned tasks. Those<br>in the control group<br>received visits from<br>the therapist to talk<br>about their<br>rehabilitation<br>progress, daily<br>activities and general<br>health condition, but<br>no intervention. | Patient outcomes:<br>Berg Balance Scale<br>BBS), 10-Meter Walk<br>Test, 6-Minute Walk<br>Test, Barthel Index (BI),<br>Stroke Impact Scale<br>(SIS)<br>Caregiver outcomes:<br>Caregiver Burden<br>Scale (CBS)<br>Outcomes were<br>assessed at baseline<br>and after the<br>intervention | CHI significantly improved scores on the:<br>Patients in the CHI group improved significantly more on<br>several domains of the SIS including Composite physical<br>scores, Strength, Mobility and General recovery.<br>Patients in the CHI group improved significantly more than<br>those in the control group on the other primary outcomes<br>6-minute walk distance: -10.5 vs. 15.8m, p = 0.003<br>BBS score: -0.8 vs. 4.5, p 0.006<br>BI: 0.6 vs. 7.2, p = 0.008<br>There were no significant differences between group in<br>mean change scores on total burden, or any of the domains<br>of the CBS at endpoint |
| Dai et al. 2013<br>China             | CA: ⊠<br>Blinding:<br>Patient ⊠                         | 48 patients with<br>unilateral neglect<br>associated with right<br>hemispheric stroke,  | Patients were<br>randomized 1:1 to<br>either an<br>experimental- or a  | Primary outcomes:<br>Conventional subtests<br>of the Rivermead   | There was significant improvement in both groups over time<br>on the mean BIT-C, FIM and PASS, but no significant<br>differences between groups at baseline, day 12 or day 28.  |

| Study/Type                       | Quality<br>Rating                             | Sample<br>Description   | Method   | Outcomes  | Key Findings and Recommendations  |
|----------------------------------|---|---|--|---|---|
| RCT                              | Assessor ☑                                    | with duration of<br>onset <6 months,  | control group. The<br>experimental group   | Behavioral Inattention<br>Test (BIT-C), FIM,  | The degree of improvement in the VR group was significantly greater.  |
|                                  | ITT: 🗵  | and 48 primary<br>caregivers. Mean<br>age of patients was<br>61 years, 58% were<br>men. Mean duration<br>since stroke onset<br>was 65 days.                               | received vestibular<br>rehabilitation (VR)<br>with the participation<br>of their primary<br>caregiver. During<br>weeks 1 and 2 a<br>registered nurse<br>trained the<br>experimental group in<br>VR. Training was<br>provided once per day<br>for 30 minutes, for a<br>total of 10 sessions<br>over 2 weeks. During<br>weeks 3 and 4, the<br>patients were<br>supervised and<br>guided in VR by the<br>primary caregivers.<br>Both the control and<br>experimental group<br>received conventional | Postural Assessment<br>Scale for Stroke<br>patients (PASS), self-<br>reported falls<br>There were no<br>caregiver outcomes<br>assessed  | There was no significant difference between groups in the number of falls at baseline, day 12 or day 28.  |
|                                  |   |   | hours/day, 5<br>days/week).  |   |   |
| Galvin et al.<br>2011<br>Ireland | CA: ☑<br>Blinding:<br>Patient 函<br>Assessor ☑ | 40 patients, ≥18<br>years, admitted to<br>hospital with first-<br>ever unilateral<br>stroke, who were   | Within 2 weeks of<br>stroke onset, patients<br>were randomly<br>assigned 1:1 to either<br>a control group who  | Primary outcome:<br>Lower limb section of<br>the Fugl-Meyer<br>Assessment modified<br>by Lindmark (LL-FMA)  | There were significant differences in mean change scores on<br>all outcome measures of impairment and function from<br>baseline between the 2 groups in favour of the FAME group<br>(control vs. FAME; mean change (SD) score) at the end of<br>the intervention  |
| RCT                              | ІТТ: ⊠  | participating in a<br>physiotherapy<br>program, and had a<br>family member<br>willing to participate<br>in the program. The<br>mean age was 65<br>years, 50% were<br>men. | received routine<br>therapy as inpatients<br>or outpatients, with no<br>formal input from their<br>family member, or the<br>family-mediated<br>exercise (FAME)<br>group who received<br>routine rehabilitation +   | Secondary outcomes:<br>Motor Assessment<br>Scale (MAS), 6-Minute<br>Walk Test (6MWT), BI,<br>Reintegration to Normal<br>Living Index (RNLI),<br>Nottingham Extended<br>Activities of Daily Living | LL-FMA: 1.75 (6.3) vs. 9.5 (9.9), $p = 0.01$<br>MAS: 4.75 (6.2) vs. 11.9 (7.8), $p < 0.00$<br>BBS: 9 (9) vs. 22.8 (18.1), $p = 0.02$<br>6MWT, meters: 47.2 (50.6) vs. 164.1 (128.7), $p < 0.00$<br>BI: 16.3 (14.2) vs. 32.3 (24), $p = 0.04$<br>At follow-up, there were significant differences in mean<br>change scores between groups in favour of the FAME group<br>(control vs. FAME; mean change (SD) score): |

| Study/Type                             | Quality<br>Rating                                       | Sample<br>Description   | Method  | Outcomes   | Key Findings and Recommendations   |
|--|---|---|---|--|--|
|  |   |   | additional lower limb<br>FAME therapy (35<br>minutes/day for 8<br>weeks). The emphasis<br>of the program was on<br>achieving stability and<br>improving gait velocity<br>and lower limb<br>strength.  | Index (N-EADL),<br>Caregiver Strain Index<br>(CSI)<br>Assessments wee<br>conducted at baseline,<br>post intervention and 3<br>months follow-up                         | 6MWT, meters: -3.5 (32.7) vs. 39.8 (55.4), p = 0.01<br>RNLI: 0.4 (2.9) vs. 4.7 (4.3), p< 0.00<br>N-EADL: 3.6 (7.8) vs. 7.6 (8.3), p= 0.02<br>There were no significant differences between groups in<br>mean change scores for LL-FMA, MAS, BBS or BI at 3<br>months.<br>The mean change in CSI scores from baseline at 3 months<br>was significantly greater for caregivers in the FAME group<br>(0.2 (1.1) vs1.3 (1), p <0.001).<br>There were 5 drop-outs or losses to follow-up (3 in the<br>control group, 2 in the FAME group) |
| Harris et al.<br>2010<br>Canada<br>RCT | CA: ☑<br>Blinding:<br>Patient ⊠<br>Assessor ☑<br>ITT: ☑ | 50 participants with<br>subacute stroke who<br>were recruited from<br>the experimental<br>arm of the GRASP<br>study. Mean age<br>was 68.7 years, 56%<br>were men. | The GRASP protocol<br>consisted of the use of<br>an exercise booklet<br>and kit tailored<br>according to motor<br>impairment. The<br>protocol was self-<br>administered,<br>homework-based<br>program supervised<br>by a study<br>coordinator. Exercises<br>and activities were<br>completed for 60<br>minutes a day, 6 days<br>per week for 4 weeks.<br>Outcomes of<br>participants who had<br>caregiver support<br>(n=29) and those<br>without support<br>(n=21), were<br>compared. Caregiver<br>support consisted of<br>verbal<br>encouragement, | Primary outcomes:<br>Fugl-Meyer Upper-Limb<br>Motor Impairment Scale<br>(FM-UL), Chedoke Arm<br>and Hand Activity<br>Inventory (CAHAI),<br>Motor Activity Log<br>(MAL) | The mean changes from baseline were significantly greater<br>for patients with caregiver support<br>Grip strength (kg): 5.8 vs. 3.4, p=0.034<br>Exercise intensity (minutes): 896 vs. 606, p=0.003<br>CAHAI: 20.5 vs. 15.0, p= 0.021<br>MAL (amount of use): 2.1 vs. 1.0, p = 0.024<br>Caregiver support was an independent predictor of change<br>in models predicting CAHAI and MAL improvement,<br>accounting for 8.6% and 5.3% of the variance for each<br>model, respectively.  |

| Study/Type | Quality<br>Rating | Sample<br>Description | Method  | Outcomes | Key Findings and Recommendations |
|------------|-------------------|-----------------------|---|----------|----------------------------------|
|            |                   |                       | actively participating<br>in activities with the<br>participant, and<br>helping to organize<br>the equipment and<br>exercise booklet. |          |                                  |

#### Abbreviations

| CA: Concealed allocation          | CI: Confidence Interval |
|-----------------------------------|-------------------------|
| ITT: Intention-to-treat           | MD: mean difference     |
| NA: Not assessed                  | OR: Odds ratio          |
| SMD: standardized mean difference |                         |

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